

**Open Book New York**  
**Office of the State Comptroller**  
**Thomas P. DiNapoli, State Comptroller**  
**Contract Transactions**

Vendor Name: FIRST SOUTHWEST COMPANY  
Agency Name: State Comptroller, Office of the  
Department/Facility Name: Office of the State Comptroller  
Contract Number: C000890  
Current Contract Amount: \$0.00  
Spending to Date: \$0.00  
Contract Type: Consultant - Audit, Accounting, Actuarial And Related Services

**Contract Information**

Transaction Type	Transaction Amount	Start Date	End Date	Description	Transaction Approved/Filed Date
Original Contract data not available for this contract record.					

**Contract Amendment Information**

Transaction Type	Transaction Amount	Amended End Date	Description	Transaction Approved/Filed Date
Amendment	(\$98,200.00)	01/21/2016	Contract Assignment from First Southwest Company to Hilltop Securities Inc	09/15/2016