

Open Book New York  
Office of the State Comptroller  
Thomas P. DiNapoli, State Comptroller  
Contract Search Results  
Contract Type = Consultant,  
Contract Sub-Type = Audit, Accounting, Actuarial And Related Services,  
Agency = State Comptroller, Office of the

Vendor Name	Department/Facility	Contract Number	Current Contract Amount	Spending to Date	Contract Start Date	Contract End Date	Contract Description	Contract Type	Original Contract Approved/Filed Date
PENFLEX INC	Office of the State Comptroller	C001084	\$201,281.00	\$0.00	05/14/2019	02/02/2020	ADMINISTRATIVE AND ACTUARIAL SERVICES FOR LENGTH OF SERVICE AWARDS PROGRAMS	Consultant - Audit, Accounting, Actuarial And Related Services	05/14/2019
PENFLEX INC	Office of the State Comptroller	C000922	\$1,000,000.00	\$0.00	08/14/2013	05/13/2019	ADMINISTRATIVE AND ACTUARIAL SERVICES FOR LENGTH OF SERVICE AWARDS PROGRAMS	Consultant - Audit, Accounting, Actuarial And Related Services	08/30/2013
TREASURY SERVICES GROUP LLC	Office of the State Comptroller	C001154	\$5,000,000.00	\$0.00	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022
SPECIALTY AUDIT SERVICES LLC	Office of the State Comptroller	C001153	\$5,000,000.00	\$0.00	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022
EECS LLC	Office of the State Comptroller	C001150	\$5,000,000.00	\$0.00	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022

PENFLEX ACTUARIAL SERVICES LLC	Office of the State Comptroller	C001084	\$1,113,130.00	\$0.00	02/03/2020	05/13/2025	Contract Assignment from Penflex Inc. for administrative and actuarial services for LOSAP	Consultant - Audit, Accounting, Actuarial And Related Services	09/30/2020
AUDIT SERVICES US LLC	Office of the State Comptroller	C001149	\$5,000,000.00	\$0.00	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022
KELMAR ASSOCIATES LLC	Office of the State Comptroller	C001151	\$5,000,000.00	\$0.00	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022
GLENS FALLS NATIONAL BANK AND TRUST CO	Office of the State Comptroller	C001083	\$1,280,916.10	\$0.00	05/14/2019	05/13/2025	TRUSTEE SERVICES FOR LENGTH OF SERVICE AWARDS PROGRAMS	Consultant - Audit, Accounting, Actuarial And Related Services	05/14/2019
GLENS FALLS NATIONAL BANK AND TRUST CO	Office of the State Comptroller	C000916	\$300,000.00	\$0.00	08/14/2013	05/13/2019	TRUSTEE SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	07/19/2013
GLENS FALLS NATIONAL BANK AND TRUST CO	Office of the State Comptroller	C000857	\$300,000.00	\$0.00	02/14/2008	08/13/2013	LOSAP TRUSTEE FOR LENGTH OF SERVICE AWARD PROGRAM	Consultant - Audit, Accounting, Actuarial And Related Services	02/14/2008
HILLTOP SECURITIES INC	Office of the State Comptroller	C000890	\$98,200.00	\$0.00	01/22/2016	02/28/2017	Contract Assignment from First Southwest Company to Hilltop Securities Inc	Consultant - Audit, Accounting, Actuarial And Related Services	09/15/2016

FIRST SOUTHWEST COMPANY	Office of the State Comptroller	C000890	\$0.00	\$0.00	09/01/2011	01/21/2016	SECONDARY FINANCIAL ADVISOR	Consultant - Audit, Accounting, Actuarial And Related Services	12/19/2011
ASCENSUS BROKER DEALER SERVICES LLC	Office of the State Comptroller	C001005	\$1,040,158.12	\$0.00	06/29/2017	05/06/2025	New York Achieving a Better Life Experience (ABLE) Savings Program Manager	Consultant - Audit, Accounting, Actuarial And Related Services	06/29/2017
ASCENSUS BROKER DEALER SERVICES LLC	Office of the State Comptroller	C000891	\$310,345,017.60	\$0.00	05/07/2012	05/06/2025	NYS 529 COLLEGE SAVINGS PROGRAM MANAGER	Consultant - Audit, Accounting, Actuarial And Related Services	03/16/2012
ASCENSUS BROKER DEALER SERVICES LLC	Office of the State Comptroller	C000806	\$424,500,000.00	\$0.00	08/28/2003	08/28/2011	PROGRAM MANAGER FOR NYS COLLEGE SAVINGS PROGRAM	Consultant - Audit, Accounting, Actuarial And Related Services	08/25/2010
PENFLEX INC	Office of the State Comptroller	C000856	\$500,000.00	\$0.00	02/14/2008	08/13/2013	ADMINISTRATIVE AND ACTUARIAL SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	02/14/2008
LAMONT FINANCIAL SERVICES CORPORATION	Office of the State Comptroller	C001032	\$400,000.00	\$3,746.25	03/01/2017	02/28/2023	Secondary Financial Advisor	Consultant - Audit, Accounting, Actuarial And Related Services	06/02/2017
FRASCA & ASSOCIATES LLC	Office of the State Comptroller	C001161	\$1,263,750.00	\$5,018.75	03/01/2023	02/29/2028	Secondary financial advisory services	Consultant - Audit, Accounting, Actuarial And Related Services	01/31/2023

FIRST SOUTHWEST COMPANY	Office of the State Comptroller	C000833	\$140,000.00	\$7,357.50	07/11/2005	08/31/2011	SECONDARY FINANCIAL ADVISOR	Consultant - Audit, Accounting, Actuarial And Related Services	06/23/2011
BLX GROUP LLC	Office of the State Comptroller	C000910	\$70,000.00	\$16,250.00	03/01/2011	02/28/2041	Arbitrage Rebate Compliance Services	Consultant - Audit, Accounting, Actuarial And Related Services	01/07/2013
BLX GROUP LLC	Office of the State Comptroller	C000855	\$31,250.00	\$21,000.00	08/14/2007	08/13/2037	ARBITRAGE REBATE COMPLIANCE SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	08/15/2007
BLX GROUP LLC	Office of the State Comptroller	C000638	\$50,000.00	\$24,750.00	01/01/1997	12/31/2030	REBATE CALCULATOR FOR ISSUANCE OF GENERAL OBLIGATION DEBT	Consultant - Audit, Accounting, Actuarial And Related Services	11/07/1997
BLX GROUP LLC	Office of the State Comptroller	C000770	\$107,500.00	\$25,250.00	03/01/2001	02/28/2031	ARBITRAGE REBATE CALCULATOR SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	09/28/2007
CEM BENCHMARKING	Office of the State Comptroller	C001137	\$250,000.00	\$50,000.00	07/01/2022	06/30/2027	Pension benchmarking services	Consultant - Audit, Accounting, Actuarial And Related Services	05/03/2022
GREAT DISCLOSURE LLC	Office of the State Comptroller	C000892	\$65,250.00	\$53,225.04	01/17/2012	09/30/2012	BUSINESS CONSULTANT FOR THE 529 COLLEGE SAVINGS PROGRAM	Consultant - Audit, Accounting, Actuarial And Related Services	01/17/2012

CONDUENT STATE & LOCAL SOLUTIONS INC	Office of the State Comptroller	C000997	\$56,237.21	\$56,237.21	06/06/2016	02/16/2018	Audit Services for the Identification, Collection, and Processing of Out-of-State Abandoned Property	Consultant - Audit, Accounting, Actuarial And Related Services	06/14/2016
AUDIT SERVICES US LLC	Office of the State Comptroller	C000994	\$2,325,000.00	\$61,160.69	06/14/2016	06/13/2027	Audit Services for the Identification, Collection, and Processing of Out-of-State Abandoned Property	Consultant - Audit, Accounting, Actuarial And Related Services	06/14/2016
TOSKI & CO CPAS PC	Office of the State Comptroller	C000886	\$79,700.00	\$79,700.00	03/11/2011	06/30/2011	AUDIT OF OSC INTERNAL CONTROLS	Consultant - Audit, Accounting, Actuarial And Related Services	03/10/2011
MITCHELL & TITUS LLP	Office of the State Comptroller	C001109	\$173,600.00	\$84,900.00	06/12/2020	06/11/2024	Professional auditing services for internal control system	Consultant - Audit, Accounting, Actuarial And Related Services	06/12/2020
TOSKI & CO CPAS PC	Office of the State Comptroller	C000969	\$89,950.00	\$85,950.00	01/30/2015	07/29/2015	Audit of OSC Internal Controls	Consultant - Audit, Accounting, Actuarial And Related Services	01/30/2015
KROLL GOVERNMENT SOLUTIONS LLC	Office of the State Comptroller	C001152	\$5,000,000.00	\$91,613.70	08/19/2022	08/18/2032	Audit services for the identification, collection and processing of out-of-state abandoned property	Consultant - Audit, Accounting, Actuarial And Related Services	08/19/2022
PUBLIC RESOURCES ADVISORY GROUP	Office of the State Comptroller	C001160	\$2,191,650.00	\$165,780.00	03/01/2023	02/29/2028	Primary financial advisory services	Consultant - Audit, Accounting, Actuarial And Related Services	01/31/2023

CEM BENCHMARKING	Office of the State Comptroller	C000872	\$195,000.00	\$185,000.00	05/31/2009	05/30/2014	BENEFIT ADMINISTRATION BENCHMARKING SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	01/06/2010
KROLL GOVERNMENT SOLUTIONS LLC	Office of the State Comptroller	C000996	\$5,000,000.00	\$188,164.33	06/14/2016	06/13/2027	Audit Services for the Identification, Collection, and Processing of Out-of-State Abandoned Property	Consultant - Audit, Accounting, Actuarial And Related Services	06/14/2016
PUBLIC RESOURCES ADVISORY GROUP	Office of the State Comptroller	C000889	\$691,000.00	\$291,416.25	09/01/2011	02/28/2017	FINANCIAL ADVISORY SERVICES	Consultant - Audit, Accounting, Actuarial And Related Services	09/01/2011
GOLDMAN SACHS & CO	Office of the State Comptroller	C000701	\$327,398.09	\$327,398.09	12/01/1998	11/30/2018	REMARKETING AGENT SERVICES FOR SERIES 98G GENERAL OBLIGATION BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	12/30/1998
PUBLIC RESOURCES ADVISORY GROUP	Office of the State Comptroller	C001031	\$2,000,000.00	\$339,493.33	03/01/2017	02/28/2023	Primary Financial Advisory Services	Consultant - Audit, Accounting, Actuarial And Related Services	06/02/2017
AUDIT SERVICES US LLC	Office of the State Comptroller	C000876	\$4,666,665.00	\$391,451.18	01/25/2010	06/30/2016	IDENTIFICATION & COLLECTION OF OUT-OF-STATE ABANDONED PROPERTIES AND GENERAL LEDGER	Consultant - Audit, Accounting, Actuarial And Related Services	01/25/2010
KELMAR ASSOCIATES LLC	Office of the State Comptroller	C000995	\$3,500,000.00	\$436,848.69	06/14/2016	06/13/2027	Audit Services for the Identification, Collection, and Processing of Out-of-State Abandoned Property	Consultant - Audit, Accounting, Actuarial And Related Services	06/14/2016

MERRILL LYNCH PIERCE FENNER & SMITH INC	Office of the State Comptroller	C000651	\$522,267.94	\$522,267.94	02/19/1998	02/01/2018	SENIOR MANAGER & REMARKETING AGENT FOR VARIABLE RATE BONDS.	Consultant - Audit, Accounting, Actuarial And Related Services	02/03/1999
PUBLIC RESOURCES ADVISORY GROUP	Office of the State Comptroller	C000832	\$1,700,363.44	\$592,010.70	07/01/2005	08/31/2011	PRIMARY FINANCIAL ADVISOR	Consultant - Audit, Accounting, Actuarial And Related Services	06/15/2011
CONDUENT STATE & LOCAL SOLUTIONS INC	Office of the State Comptroller	C001006	\$622,561.61	\$622,561.61	09/02/2016	01/31/2019	Custodial Brokerage and Research Services for Abandoned Securities for Office of Unclaimed Funds	Consultant - Audit, Accounting, Actuarial And Related Services	09/02/2016
JPMORGAN SECURITIES INC	Office of the State Comptroller	C000624	\$776,855.95	\$640,974.46	02/19/1997	02/01/2017	SR MNGR & REMARKETING AGENT FOR GENERAL OBLIGATION BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	03/06/1997
JP MORGAN SECURITIES INC	Office of the State Comptroller	C000729	\$985,202.78	\$985,202.78	03/16/2000	03/15/2030	SR MANAGER & REMARKETING AGENT FOR SERIES 2000.	Consultant - Audit, Accounting, Actuarial And Related Services	04/13/2000
AVENU SLS HOLDINGS LLC	Office of the State Comptroller	C001006	\$13,912,688.39	\$1,547,234.81	02/01/2019	09/01/2024	Audit services contract assignment from Conduent	Consultant - Audit, Accounting, Actuarial And Related Services	12/27/2019
DS502 CITIGROUP GLOBAL MARKETS	Office of the State Comptroller	C000758	\$2,200,000.00	\$1,615,944.54	02/21/2002	02/15/2022	BROKER DEALER FOR SERIES 2001 B,C&D GO BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	02/20/2002

DS500 MORGAN STANLEY	Office of the State Comptroller	C000756	\$3,430,000.00	\$1,853,747.09	02/21/2002	02/15/2032	BROKER DEALER FOR SERIES 2001B,C&D GO BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	02/20/2002
DS501 UBS FINANCIAL SERVICES INC	Office of the State Comptroller	C000757	\$2,350,000.00	\$2,232,508.83	02/21/2002	02/15/2022	BROKER DEALER FOR SERIES 2001 B,C&D GO BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	02/20/2002
JP MORGAN CHASE BANK NA	Office of the State Comptroller	C000649	\$3,982,630.54	\$2,567,053.09	02/19/1998	11/30/2015	CREDIT FACILITY PROVIDER FOR VARIABLE RATE GENERAL OBLIGATION BONDS	Consultant - Audit, Accounting, Actuarial And Related Services	07/13/2005