## Open Book New York Office of the State Comptroller Thomas P. DiNapoli, State Comptroller Displaying all Contracts for MOHAWK ADIRONDACK & NORTHERN RAILROAD CORP

| Department/Facility             | Contract<br>Number | Current<br>Contract<br>Amount | Spending to Date | Contract<br>Start Date | Contract<br>End Date | Contract Description  | Contract Type                                | Original Contract<br>Approved/Filed Date |
|---------------------------------|--------------------|-------------------------------|------------------|------------------------|----------------------|---|--|--|
| Department of Transportation    | T029940            | \$0.00                        | \$0.00           | 01/09/2009             | 12/31/2015           | BRIDGE CLEANING/WASHING OVER RR,<br>ONEIDA CO.  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 07/23/2009                               |
| Department of Transportation    | DR38642            | \$219,000.00                  | \$201,938.68     | 08/01/2019             | 12/31/2025           | Safety Improvements Install Lights & Gates So<br>Clinton St Crossing Carthage Village Jefferson<br>County | Construction -<br>Highway                    | 10/22/2019                               |
| Department of Transportation    | T021911            | \$0.00                        | \$0.00           | 11/04/2005             | 12/31/2007           | BRIDGE JOINT REPAIR, VARIOUS SITES, 1790, CITY OF UTICA, ONEIDA CO.                                       | Contracts Not<br>Subject to OSC<br>Pre-Audit | 11/30/2005                               |
| Department of<br>Transportation | D029794            | \$56,369.00                   | \$56,369.00      | 08/08/2008             | 12/31/2014           | INSTALLATION OF RAILWAY SIGNAL<br>CASE ROME, NEW YORK   | Construction -<br>Highway                    | 10/20/2008                               |
| Department of Transportation    | TR33286            | \$11,131.27                   | \$11,131.27      | 04/20/2012             | 12/31/2018           | INSTALL PASSIVE WARNING DEVICE  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 10/15/2012                               |
| Department of<br>Transportation | DR37152            | \$80,417.36                   | \$80,417.36      | 07/27/2016             | 08/12/2019           | INSTALL LIGHTS & GATES MULTIPLE<br>RAILROAD CROSSINGS ONEIDA COUNTY                                       | Construction -<br>Highway                    | 09/30/2016                               |
| Department of Transportation    | TR37134            | \$9,992.00                    | \$0.00           | 03/01/2016             | 03/29/2019           | RAILROADS COST TO PROVIDE ENGI  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 06/16/2016                               |
| Department of Transportation    | DR36769            | \$154,705.65                  | \$154,705.65     | 07/10/2014             | 12/31/2020           | SAFETY IMPROVEMENTS AT 6 RAILROAD CROSSINGS ONEIDA COUNTY   | Construction -<br>Highway                    | 08/22/2014                               |
| Department of Transportation    | TR37275            | \$8,560.00                    | \$0.00           | 03/01/2017             | 03/29/2019           | PAVEMENT MAINTENANCE SR 49 CI   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 07/27/2017                               |
| Department of Transportation    | D008096            | \$155,646.51                  | \$155,646.51     | 03/28/1994             | 12/31/2008           | INSTALL SIGNALS & GATES AT PLANK<br>RD CROSSING TRENTON, ONEIDA<br>COUNTY                                 | Construction -<br>Highway                    | 03/08/2007                               |

| Department of Transportation    | D008129 | \$103,642.00   | \$1,977.14   | 04/22/1994 | 02/22/2006 | INSTL. OF SIGNALS & GATES AT THE X-SING OF SOUTH MECHANIC ST., V. OF CARTHAGE, JEFFERSON CO. | Construction -<br>Highway                    | 04/10/2001 |
|---------------------------------|---------|----------------|--------------|------------|------------|--|--|------------|
| Department of<br>Transportation | DR39042 | \$398,000.00   | \$0.00       | 02/08/2023 | 12/31/2028 | RR crossing relocation Rte 12D & Rte 12<br>Boonville Oneida County                           | Construction -<br>Highway                    | 01/11/2024 |
| Department of<br>Transportation | T021642 | \$6,750.00     | \$0.00       | 08/18/2003 | 11/30/2005 | BRIDGE PAINTING, TN OF CROGHAN<br>LEWIS CO   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 04/27/2004 |
| Department of Fransportation    | TR38991 | \$10,500.00    | \$0.00       | 12/13/2022 | 12/31/2028 | 780670 RRFA MHWA PE  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 01/10/2023 |
| Department of Fransportation    | TR38993 | \$21,000.00    | \$0.00       | 12/13/2022 | 12/31/2028 | 780671 RRFA MHWA PE  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 01/10/2023 |
| Department of<br>Transportation | DR38940 | \$1,187,021.00 | \$10,689.34  | 04/15/2022 | 12/31/2026 | Passenger & Rail Assistance Program  | Grant  | 01/11/2023 |
| Department of Transportation    | T029995 | \$13,658.32    | \$13,658.32  | 09/24/2009 | 12/31/2015 | RTE 921C RAMP OVER WHITESBORO<br>ST./MA&N CITY OF UTICA, ONEIDA CO.                          | Contracts Not<br>Subject to OSC<br>Pre-Audit | 02/09/2010 |
| Department of Transportation    | D006775 | \$43,000.00    | \$19,661.63  | 04/13/1993 | 12/31/2005 | PRELIM. ENGR. CLOSING 2 GRADE<br>CROSSINGS, INSTL. SIGNALS SEVERAL<br>LOCATIONS              | Construction -<br>Highway                    | 04/16/2003 |
| Department of<br>Transportation | DR38640 | \$341,500.00   | \$341,500.00 | 08/08/2019 | 12/31/2025 | Progress Safety Improvements S Mechanic St<br>Crossing Carthage Jefferson County             | Construction -<br>Highway                    | 10/28/2019 |
| Department of<br>Transportation | T029738 | \$1,493.65     | \$1,493.65   | 02/15/2008 | 12/31/2015 | BRIDGE CLEANING/WASHING OVER RR,<br>ONEIDA CO.   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 05/20/2008 |
| Department of<br>Transportation | T029842 | \$7,533.25     | \$7,533.25   | 11/03/2008 | 12/31/2014 | PURCHASE SIGNS FOR CROSSINGS ,<br>GENESEE & ORLEANS COS.                                     | Contracts Not<br>Subject to OSC<br>Pre-Audit | 12/16/2008 |
| Department of<br>Transportation | DR37451 | \$2,524.25     | \$2,524.25   | 04/12/2018 | 02/02/2021 | Railroad Support services SR 3 Bridge Rehab<br>Diana Lewis County                            | Construction -<br>Highway                    | 03/04/2019 |
| Department of Transportation    | DR36971 | \$83,967.42    | \$83,967.42  | 05/15/2015 | 12/31/2021 | RAILROAD CROSSING GRADE<br>IMPROVEMENT STATE RTE 69 & 26 ROME<br>ONEIDA COUNTY               | Construction -<br>Highway                    | 06/19/2015 |

| Department of Transportation    | D029949 | \$1,490,000.00 | \$1,490,000.00 | 10/02/2009 | 12/31/2014 | 17000GSA001 GOVERNORS 100 MILLION 5<br>YEAR RAIL PLAN MHWA TRACK &<br>BRIDGE REHAB ONEIDA & LEWIS COS | Grant  | 10/02/2009 |
|---------------------------------|---------|----------------|----------------|------------|------------|---|--|------------|
| Department of<br>Transportation | DR34483 | \$525,000.00   | \$525,000.00   | 04/01/2013 | 12/31/2014 | Rehabilitating and Repairing Culverts and bridges over Cincinnati Creek and Associated Tributaries    | Grant  | 04/18/2013 |
| Department of<br>Transportation | DR37160 | \$895,200.00   | \$895,200.00   | 06/27/2016 | 03/31/2022 | PASSENGER AND FREIGHT RAIL<br>ASSISTANCE  | Grant  | 09/29/2016 |
| Department of Transportation    | DR34543 | \$335,924.05   | \$335,924.05   | 08/21/2013 | 12/31/2018 | INSTALL FLASHERS & GATES AC DC<br>CIRCUITRY DEPOT STREET CROSSING<br>REMSEN ONEIDA COUNTY             | Construction -<br>Highway                    | 10/03/2013 |
| Department of<br>Transportation | D021938 | \$1,500,000.00 | \$1,500,000.00 | 04/27/2006 | 12/05/2016 | MHWA TRACT/BRIDGE REHABILITATION ONEIDA COUNTY  | Construction -<br>Highway                    | 04/27/2006 |
| Department of<br>Transportation | DR37297 | \$223,630.55   | \$223,630.55   | 04/12/2017 | 03/05/2019 | REIMBURSEMENT AGREEMENT SIX<br>GRADE CROSSING IMPROVEMENTS<br>ONEIDA COUNTY                           | Construction -<br>Highway                    | 06/06/2017 |
| Department of Transportation    | TR37124 | \$36,174.73    | \$36,174.73    | 01/07/2016 | 03/29/2019 | BRIDGE CONCRETE REPAIRS SR 12   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 04/15/2016 |
| Department of<br>Transportation | T014276 | \$4,433.62     | \$0.00         | 12/21/2001 | 03/31/2005 | BRIDGE CLEANINGS TOWN OF MARCY,<br>TN OF STEUBEN, ONEIDA CO   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 02/20/2002 |
| Department of Transportation    | D008091 | \$2,868.74     | \$2,868.74     | 07/08/1994 | 10/31/2005 | INSTL. OF SIGNALS & GATES AT THE X-SING OF FACTORY RD., TN. OF DIANA, LEWIS CO.                       | Construction -<br>Highway                    | 01/03/2001 |
| Department of Transportation    | TR34439 | \$310.32       | \$310.32       | 11/06/2012 | 10/31/2014 | BRIDGE JOINT REPLCMT. PROJECT   | Contracts Not<br>Subject to OSC<br>Pre-Audit | 01/30/2013 |
| Department of<br>Transportation | TR33232 | \$6,582.12     | \$6,582.12     | 04/12/2012 | 03/30/2014 | PROTECTIVE FLAGGING BRIDGE<br>MAINTENANCE BIN7708960 ONEIDA CO  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 02/07/2012 |
| Department of<br>Transportation | D008115 | \$3,277.99     | \$3,277.99     | 07/29/1994 | 10/31/2005 | X-SING OF SIGNALS & GATES AT THE S<br>GROVE ST., TN. OF CLIFTON, ST.<br>LAWRENCE CO.                  | Construction -<br>Highway                    | 01/03/2001 |

| Department of Transportation    | D029697 | \$227,380.66   | \$227,380.66   | 12/21/2007 | 12/31/2013 | INSTALL & MAINTAIN FLASHING LIGHT<br>SIGNALS W/GATES LELAND AVENUE,<br>UTICA          | Construction -<br>Highway                    | 03/11/2008 |
|---------------------------------|---------|----------------|----------------|------------|------------|---|--|------------|
| Department of Transportation    | TR34451 | \$14,280.00    | \$0.00         | 10/22/2012 | 12/31/2018 | SIDEWALK REPLACEMENT PROJ. NYS  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 02/21/2013 |
| Department of Transportation    | DR37542 | \$580,700.00   | \$522,252.06   | 05/22/2018 | 12/31/2024 | Install Lights & Gates Warning Devices 3<br>Crossings Oneida County                   | Construction -<br>Highway                    | 03/06/2019 |
| Department of<br>Transportation | DR38641 | \$267,400.00   | \$252,392.68   | 08/01/2019 | 12/31/2025 | Install Lights & Gates South James Street<br>Crossing Carthage Jefferson County       | Construction -<br>Highway                    | 10/15/2019 |
| Department of<br>Transportation | DR38624 | \$312,500.00   | \$276,408.00   | 06/27/2019 | 12/31/2025 | Install Lights & Gates & Grade Crossing Prospect Street Crossing Remsen Oneida County | Construction -<br>Highway                    | 10/15/2019 |
| Department of<br>Transportation | DR36939 | \$567,000.00   | \$566,022.51   | 03/06/2015 | 12/31/2021 | PASSENGER & RAIL ASSISTANCE<br>PROGRAM  | Grant  | 04/28/2015 |
| Department of Transportation    | T014295 | \$5,127.80     | \$5,100.00     | 02/08/2002 | 12/31/2004 | SR12, TOWN OF STEUBEN, ONEIDA CO  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 03/15/2002 |
| Department of<br>Transportation | T011844 | \$1,400.00     | \$0.00         | 12/18/1998 | 08/31/1999 | BRIDGE CLEANING, NY 12, 28 & 291, TNS OF STEUBEN & MARCY,                             | Contracts Not<br>Subject to OSC<br>Pre-Audit | 01/07/1999 |
| Department of<br>Transportation | DR37543 | \$563,500.00   | \$508,039.58   | 06/11/2018 | 12/31/2024 | Install Lights & Gates 3 Crossings Sites Trenton<br>Remsen & Boonville Oneida County  | Construction -<br>Highway                    | 03/04/2019 |
| Department of Transportation    | TR38649 | \$3,968.00     | \$0.00         | 09/12/2019 | 12/31/2024 | BRIDGE WASHING I-790 EB & WB  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 10/23/2019 |
| Department of Transportation    | DR37289 | \$1,185,000.00 | \$1,184,768.15 | 03/15/2017 | 12/31/2022 | PASSENGER & RAIL ASSISTANCE   | Grant  | 04/25/2017 |
| Department of<br>Transportation | D036239 | \$1,797,300.00 | \$991,413.22   | 04/08/2019 | 12/31/2023 | PASSENGER & RAIL ASSISTANCE<br>PROGRAM  | Grant  | 05/15/2019 |
| Department of Transportation    | DR38643 | \$229,000.00   | \$204,428.68   | 08/01/2019 | 12/31/2025 | Safety Improvements South Washington Street<br>Crossing Carthage NY Jefferson County  | Construction -<br>Highway                    | 10/22/2019 |
| Department of Transportation    | TR38601 | \$39,680.00    | \$0.00         | 06/29/2018 | 12/31/2024 | MULTI USE TRAIL OVER UTICA MAI  | Contracts Not<br>Subject to OSC<br>Pre-Audit | 10/02/2019 |

| Department of Transportation | DR38625 | \$399,200.00 | \$364,242.22 | 06/12/2019 |  | Progress Safety Improvements Mapledale Rd & Egypt Rd Crossings Lyons Falls Branch Oneida County | Construction -<br>Highway | 10/28/2019 |
|------------------------------|---------|--------------|--------------|------------|--|---|---------------------------|------------|
|------------------------------|---------|--------------|--------------|------------|--|---|---------------------------|------------|