Open Book New York Office of the State Comptroller Thomas P. DiNapoli, State Comptroller Displaying all Contracts for HILLTOP SECURITIES INC

Department/Facility	Contract Number	Current Contract Amount	Spending to Date	Contract Start Date	Contract End Date	Contract Description	Contract Type	Original Contract Approved/Filed Date
Division of the Budget	C000443	\$1,000,000.00	\$7,750.00	02/29/2016	02/28/2021	Financial Advisory Services to Manage the State's Debt Portfolio - Secondary	Consultant - Audit, Accounting, Actuarial And Related Services	05/23/2016
Office of the State Comptroller	C000890	\$98,200.00	\$0.00	01/22/2016	02/28/2017	Contract Assignment from First Southwest Company to Hilltop Securities Inc	Consultant - Audit, Accounting, Actuarial And Related Services	09/15/2016
New York State Thruway Authority	C010392	\$750,000.00	\$0.00	07/19/2016	07/18/2021	Financial Advisory Services	Consultant - Audit, Accounting, Actuarial And Related Services	12/20/2016
New York Local Government Assistance Corporation	C001082	\$406,875.00	\$0.00	02/01/2019	01/31/2024	PA Filed Contract - Financial Adviser Services	Contracts Not Subject to OSC Pre-Audit	02/14/2019